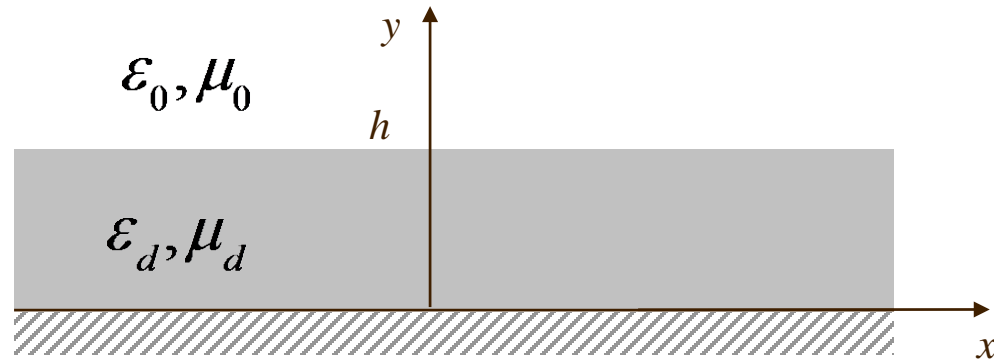


**ECE 222b**  
**Applied Electromagnetics**  
**Notes Set 4a**

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# Dielectric Slab Waveguide (1)



**Assumptions:** 1. No variation along  $x$

2. Wave propagation in the  $z$  direction

Examine the equation for inhomogeneous waveguides:

$$\nabla_t \times \left[ \frac{1}{k_t^2} (\omega \varepsilon \hat{z} \times \nabla_t E_z + k_z \nabla_t H_z) \right] = -\omega \varepsilon E_z \hat{z}$$

$= 0! \Rightarrow \mathbf{E}_z$  and  $\mathbf{H}_z$  decouple!

# Dielectric Slab Waveguide (2)

## 1. TM<sub>z</sub> modes

For  $0 \leq y \leq h$ :  $E_{1z} = A_1 \sin k_{yd} y e^{-jk_z z}$   $k_{yd}^2 + k_z^2 = k_d^2 = \omega^2 \mu_d \epsilon_d$

$$E_{1y} = -A_1 \frac{jk_z}{k_{yd}} \cos k_{yd} y e^{-jk_z z}$$

$$H_{1x} = A_1 \frac{j\omega\epsilon_d}{k_{yd}} \cos k_{yd} y e^{-jk_z z}$$

For  $y \geq h$ :  $E_{2z} = A_2 e^{-\alpha y} e^{-jk_z z}$   $k_z^2 - \alpha^2 = k_0^2 = \omega^2 \mu_0 \epsilon_0$

$$E_{2y} = -A_2 \frac{jk_z}{\alpha} e^{-\alpha y} e^{-jk_z z}$$

$$H_{2x} = A_2 \frac{j\omega\epsilon_0}{\alpha} e^{-\alpha y} e^{-jk_z z}$$

# Dielectric Slab Waveguide (3)

At  $y = h$ :

$$E_{1z} = E_{2z} \quad \Rightarrow \quad A_1 \sin k_{yd} h = A_2 e^{-\alpha h}$$

$$H_{1x} = H_{2x} \quad \Rightarrow \quad A_1 \frac{\epsilon_d}{k_{yd}} \cos k_{yd} h = A_2 \frac{\epsilon_0}{\alpha} e^{-\alpha h}$$

**Characteristic equation:**

$$\frac{k_{yd}}{\epsilon_d} \tan k_{yd} h = \frac{\alpha}{\epsilon_0}$$

2. TE<sub>z</sub> modes

$$\frac{k_{yd}}{\mu_d} \cot k_{yd} h = -\frac{\alpha}{\mu_0}$$

Solve for  $\alpha$ ,  $k_{yd}$ , and  $k_z$ !

# Dielectric Slab Waveguide (4)

Definition for cutoff:  $\alpha = 0 \longrightarrow k_z = k_0$

At cutoff:

$$\tan k_{yd}h = 0 \quad \text{for TM modes} \longrightarrow k_{yd}h = n\pi \quad n = 0, 1, 2, \dots$$

$$\cot k_{yd}h = 0 \quad \text{for TE modes} \longrightarrow k_{yd}h = (n - \frac{1}{2})\pi \quad n = 1, 2, \dots$$

Cutoff frequencies:

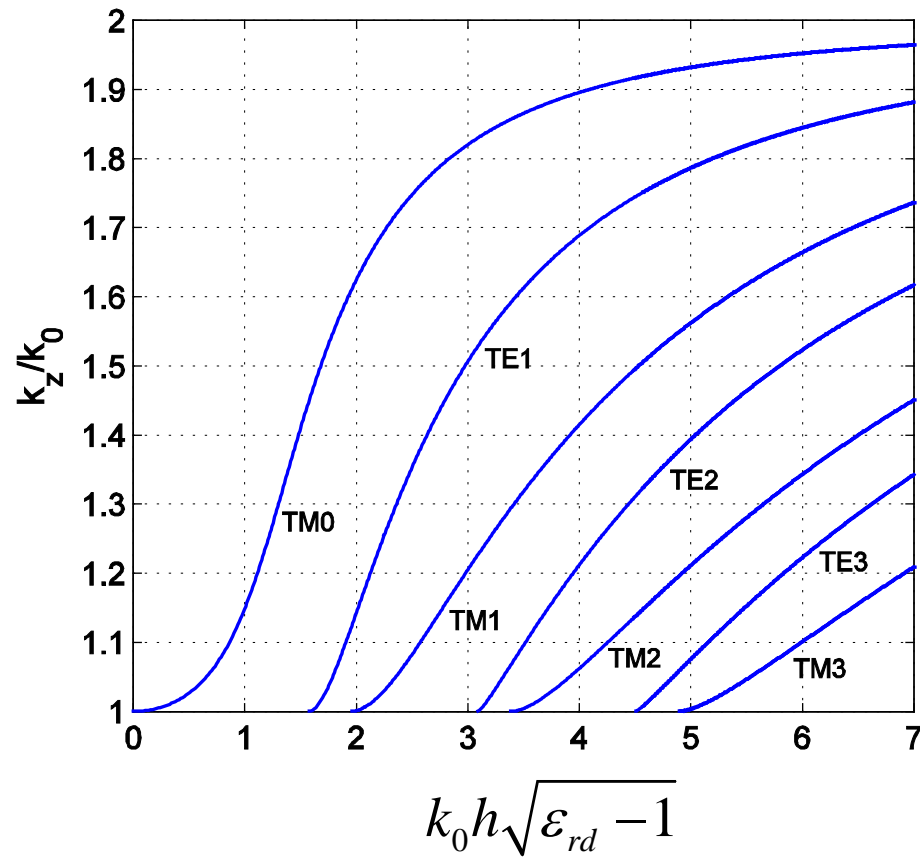
$$\omega_c = \frac{n\pi}{h\sqrt{\mu_d\epsilon_d - \mu_0\epsilon_0}} \quad n = 0, 1, 2, \dots \quad \text{for TM modes}$$

$$\omega_c = \frac{(2n-1)\pi}{2h\sqrt{\mu_d\epsilon_d - \mu_0\epsilon_0}} \quad n = 1, 2, \dots \quad \text{for TE modes}$$

**The dominant mode is TM<sub>0</sub>, which has no cutoff frequencies!**


# Dielectric Slab Waveguide (5)

Dispersion curves:

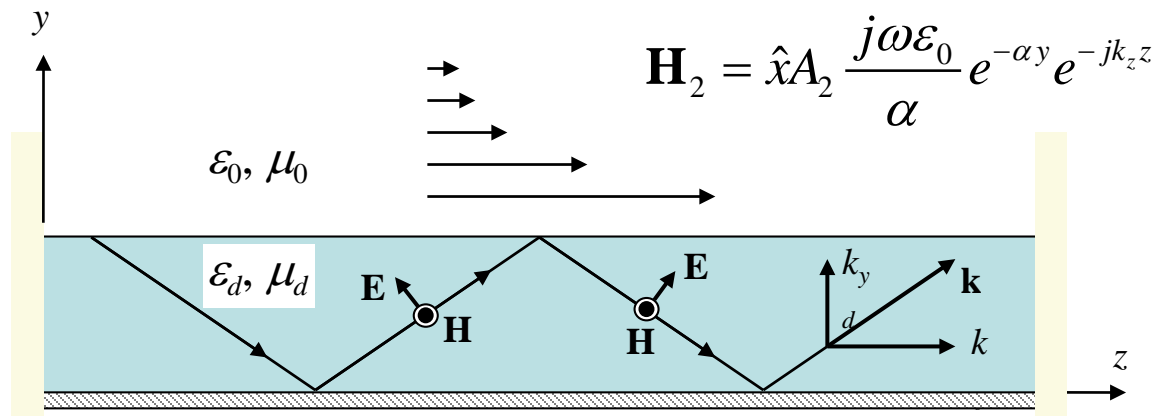


# Dielectric Slab Waveguide (6)

Field inside the dielectric slab:

$$\mathbf{H}_1 = \frac{A_1}{2j} \frac{\omega \epsilon_d}{k_{yd}} \left[ -\hat{x} e^{j(k_{yd}y - k_z z)} - \hat{x} e^{-j(k_{yd}y + k_z z)} \right]$$


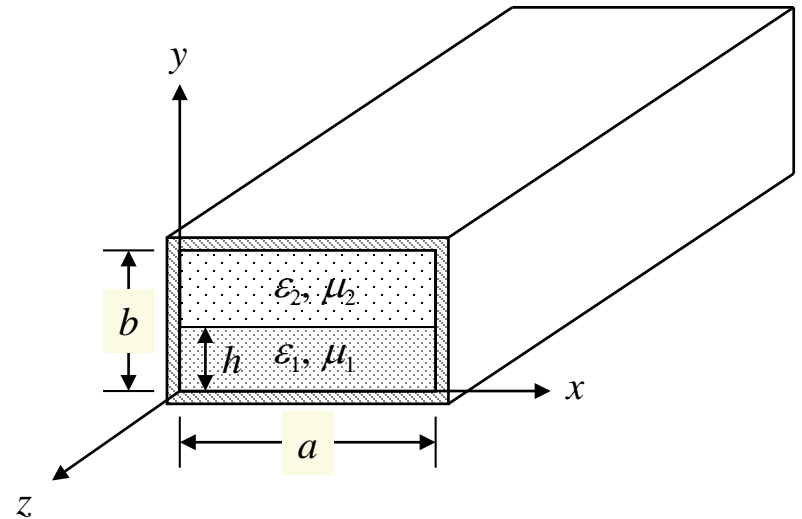
Superposition of two plane waves!



The cutoff corresponds to the internal total reflection!

# Partially Filled Waveguide (1)

1.  $TE_z$  and  $TM_z$  modes exist only when the field has no variation in  $x$
2. General modes are hybrid modes
3. At cutoff, hybrid modes reduce to  $TE_z$  and  $TM_z$  modes



$$\nabla_t \times \left[ \frac{1}{k_t^2} (\omega \epsilon \hat{z} \times \nabla_t E_z + k_z \nabla_t H_z) \right] = -\omega \epsilon E_z \hat{z}$$

$$\nabla_t \times \left[ \frac{1}{k_t^2} (\omega \mu \hat{z} \times \nabla_t H_z - k_z \nabla_t E_z) \right] = -\omega \mu H_z \hat{z}$$



# Partially Filled Waveguide (2)

## General analysis procedure:

### 1. Write down the $E_z$ and $H_z$ expressions:

In region 1 ( $0 < y < h$ ):

$$E_{1z} = A_1 \sin k_x x \sin k_{1y} y e^{-jk_z z}$$

$$H_{1z} = B_1 \cos k_x x \cos k_{1y} y e^{-jk_z z}$$

In region 2 ( $h < y < b$ ):

$$E_{2z} = A_2 \sin k_x x \sin k_{2y} (b - y) e^{-jk_z z}$$

$$H_{2z} = B_2 \cos k_x x \cos k_{1y} (b - y) e^{-jk_z z}$$

Dispersion relations

$$k_x = m\pi/a$$

$$k_x^2 + k_{1y}^2 + k_z^2 = k_1^2 = \omega^2 \mu_1 \epsilon_1$$

$$k_x = m\pi/a$$

$$k_x^2 + k_{2y}^2 + k_z^2 = k_2^2 = \omega^2 \mu_2 \epsilon_2$$

### 2. Derive all other field components in the two regions from $E_z$ and $H_z$

# Partially Filled Waveguide (3)

## 3. Apply the boundary conditions at $y = h$

$$E_{1z}|_{y=h} = E_{2z}|_{y=h} \quad H_{1z}|_{y=h} = H_{2z}|_{y=h}$$

$$E_{1x}|_{y=h} = E_{2x}|_{y=h} \quad H_{1x}|_{y=h} = H_{2x}|_{y=h}$$

## 4. Find the characteristic equation for non-trivial solutions

$$\left[ \frac{\omega\mu_1 k_{1y}}{k_{1t}^2} \tan k_{1y} h + \frac{\omega\mu_2 k_{2y}}{k_{2t}^2} \tan k_{2y} (b-h) \right] \\ \times \left[ \frac{\omega\varepsilon_1 k_{1y}}{k_{1t}^2} \cot k_{1y} h + \frac{\omega\varepsilon_2 k_{2y}}{k_{2t}^2} \cot k_{2y} (b-h) \right] + \left[ k_x k_z \left( \frac{1}{k_{1t}^2} - \frac{1}{k_{2t}^2} \right) \right]^2 = 0$$

$$k_{1t}^2 = k_1^2 - k_z^2 \quad k_{2t}^2 = k_2^2 - k_z^2$$

# Partially Filled Waveguide (4)

## 5. Solve the characteristic equation

$$\text{1st set of solution: } \frac{\mu_1}{k_{1y}} \tan k_{1y} h = -\frac{\mu_2}{k_{2y}} \tan k_{2y} (b-h)$$

$$\text{2nd set of solution: } \frac{k_{1y}}{\epsilon_1} \tan k_{1y} h = -\frac{k_{2y}}{\epsilon_2} \tan k_{2y} (b-h)$$

## 6. Analyze the properties of the solutions

For the 1<sup>st</sup> set of solution:  $H_z \rightarrow 0$  (TM modes) when  $k_z \rightarrow 0$  and  $m \neq 0$



Hybrid  $EH_{mn}$  modes ( $m \neq 0$ )

For the 2<sup>nd</sup> set of solution:  $E_z \rightarrow 0$  (TE modes) when  $k_z \rightarrow 0$  and  $m \neq 0$



Hybrid  $HE_{mn}$  modes ( $m \neq 0$ )

# Partially Filled Waveguide (5)

What happens when  $m = 0$ ?

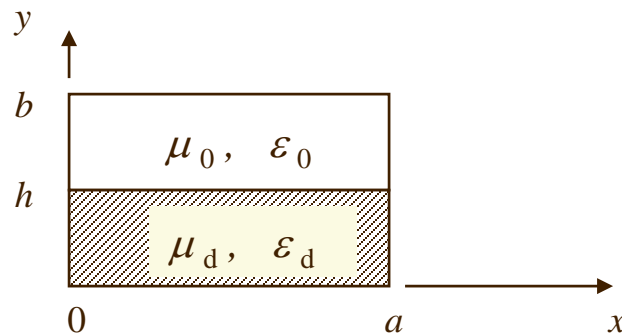
For the 1<sup>st</sup> set of solution:  $E_z = 0$  (TE<sub>0n</sub> modes) for all  $k_z$

↳ TE<sub>0n</sub> modes (or EH<sub>0n</sub> modes)

For the 2<sup>nd</sup> set of solution:  $E_z = H_z = 0$  (trivial solution) for all  $k_z$

↳ Trivial solution

## 7. Solve the transcendental equations to compute dispersion curves



$$a/b = 2$$

$$h/b = 0.5$$

$$\epsilon_r = 4$$

# Partially Filled Waveguide (6)

Dispersion curves:

